

MINISTRY OF ENERGY AND MINERAL DEVELOPMENT

MINES DEPARTMENT

THE IMPLEMENTATION OF THE REGIONAL CERTIFICATION
MECHANISM IN UGANDA

Certification Guide to 3TG
Miners







What is RCM

The Regional Certification Mechanism (RCM) is a regional standard of the ICGLR for certification of designated minerals (Tin, Tantalum, Tungsten and Gold) sourced from any ICGLR Member States.

It is one of the six (6) tools of the Regional Initiative to fight against the Illegal exploitation of Natural Resources (RINR) in the Great Lakes Region that was signed into force by the heads of ICGLR member states in December 2010, Lusaka, Zambia. The other 5 tools of RINR include;

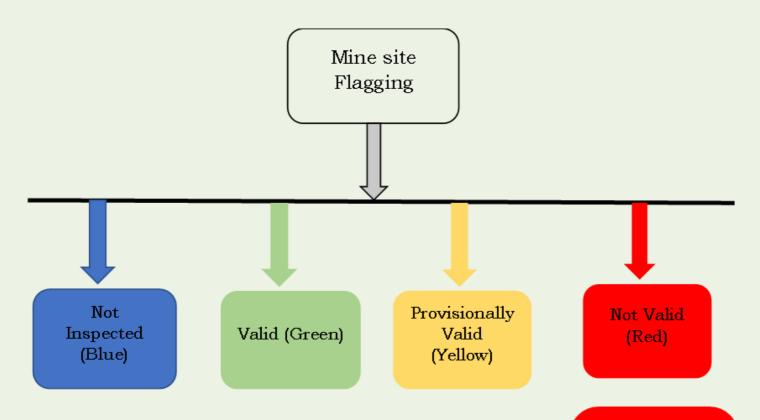
- Harmonization of National Laws and domestication of the Protocol
- Regional Mineral Database.
- Formalization of Artisanal Mining Sector.
- Extractive Industries Transparency Initiative (EITI).
- Whistle Blowing Mechanism.

The objective of ICGLR RCM is to provide for transparent mineral supply chains that benefits the host nation and curb down illegal exploitation of designated minerals or serious human rights abuse in and between ICGLR member states.

Who needs to be certified

- Any individual or company mining Tin (Cassiterite), Tantalum (Coltan),
 Tungsten (Wolfram) and Gold abbreviated as 3Ts and G.
- Any individual or company trading (buying, selling, importing and exporting) in 3Ts and G.
- Any individual or company seeking to export 3Ts and G.

Mine Site Inspection and Validation



Site has never been inspected according to ICGLR requirements.

Valid mine site not inspected within last year

Maximum Time for Blue status: 3 years

Attains Red Status if not inspected in 3 years Mine site has been inspected according to ICGLR requirements and meets all criteria specified in Appendix A2 of RCM Manual.

Site can retain green status for a maximum of 1 year.

Mine site is non-compliant with one or more of the Provisionally Valid (Yellow) Criteria as detailed in Appendix A2 of RCM Manual

Grace period of 6 months to correct the infraction(s) or demonstrate significant measurable improvement Mine site is found non-compliant with one or more of the Red Status Criteria as detailed in Appendix A2 of RCM Manual

Has requested for a follow-up inspection that identified non-conformance with one or more Red Status Criteria

Yellow status
Criteria not
resolved within 6
months grace
period

Key Steps towards an RCM compliant national certification system

- Minesite Inspection and Validation.
- Inspection and examination of a mine site and determination of its conformity with the ICGLR mine site standard
- 2. Chain of Custody Tracking.
- A system that can track mineral flows from a certified mine site to the point of export.
- 3. Certification of Mineral Export.
 - System for certifying mineral exports and issuing certificates.

A certified Mine Site for Designated Minerals.

Inspected according to **ICGLR RCM** requirements Mine Site Activities Validated conform with (Green or the OECD Provisionally Guidelines yellow) Certified Mine Site Conducted Conducted Third Party Chain of Audit Custody Issued ICGLR Certificate

Benefits of A certified Mine Site



With Partners









